

Attorney Docket No.: 5693P063X

JFW

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application for:

Robert M. Armitano et al.

Serial No.: 10/777,418

Filing Date: 02/11/2004

For: POLICY ENGINE TO CONTROL THE
SERVICING OF REQUESTS
RECEIVED BY A STORAGE
SERVER

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Examiner: Not Yet Assigned

Group Art Unit: 2185

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Sir:

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If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: July 13, 2005


Jordan M. Becker
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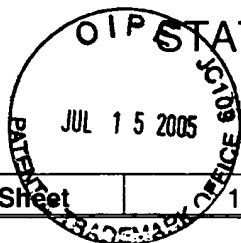
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(408) 720-8300

Substitute for Form 1449/PTO

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(use as many sheets as necessary)



Sheet 1 of 3

Complete if Known

Application Number	10/777,418
Filing Date	02/11/2004
First Named Inventor:	Robert M. Armitano et al.
Art Unit	2185
Examiner Name	Not Yet Assigned
Attorney Docket Number	5693P063X

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (If known)				
		us-	4,937,763	06-26-1990	Mott	
		us-	5,067,099	11-19-1991	McCown et al.	
		us-	5,261,051	11-09-1993	Masden et al.	
		us-	5,392,446	02-21-1995	Tower et al.	
		us-	5,604,862	02-18-1997	Midgely et al.	
		us-	5,630,049	05-13-1997	Cardoza et al.	
		us-	5,649,152	07-15-1997	Ohran et al.	
		us-	5,771,354	06-23-1998	Crawford	
		us-	5,787,409	07-28-1998	Seiffert et al.	
		us-	5,819,292	10-06-1998	Hitz et al.	
		us-	5,835,953	11-10-1998	Ohran	
		us-	5,925,126	07-20-1999	Hsieh	
		us-	5,933,594	08-03-1999	La Joie et al.	
		us-	5,946,690	08-31-1999	Pitts	
		us-	5,963,962	10-05-1999	Hitz et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		PCT/US01/46688	11-30-2001	Network Appliance, Inc.		
		WO 02/095588 A2	11-28-2002	Network Appliance, Inc.		
		WO 02/44862 A2	06-06-2002	Network Appliance, Inc.		

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				Application Number	10/777,418
				Filing Date	02/11/2004
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				Art Unit	2185
Examiner Name	Not Yet Assigned				
Sheet	2	of	3	Attorney Docket Number	5693P063X

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		Number-Kind Code ² (If known)				
		US-	5,968,176	10-19-1999	Nessett et al.	
		US-	6,101,558	08-08-2000	Utsunomiya et al.	
		US-	6,115,741	09-05-2000	Dominek et al.	
		US-	6,138,126	10-24-2000	Hitz et al.	
		US-	6,189,114 B1	02-13-2001	Orr	
		US-	6,237,114 B1	05-22-2001	Wookey et al.	
		US-	6,327,677 B1	12-04-2001	Garg et al.	
		US-	6,405,327 B1	06-11-2002	Sipple et al.	
		US-	2002/0103783 A1	08-01-2002	Muhlestein	
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				Examiner Name	Not Yet Assigned
Sheet	3	of	3	Attorney Docket Number	5693P063X
NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T ²
		iCap Forum, "iCap – FAQ's and Answers", http://www.i-cap.org/icap/faqs.cfm , July 13, 2001, 2 pages.			
		Norton, Peter, et al., "Chapter 3 – Norton Utilities: The System Doctor Is In", Peter Norton's Complete Guide to Norton SystemWorks 2.0, 38 pages.			
		Slashdot, "Slashdot – Tux2: The Filesystems That Would Be... - Microsoft Internet Explorer", October 20, 2000, 16 pages.			
		Network Appliance, Inc., "Data ONTAP Event Management System", August 10, 2000, 19 pages.			

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